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## FORMALITIES LETTER



\*OC00000005325402\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/597,861

06/20/2000

Maya Rani Gupta

74451.P116

Michael J Mallie  
 Blakely Sokoloff Taylor & Zafman LLP  
 7th Floor  
 12400 Wilshire Boulevard  
 Los Angeles, CA 90025-1026



Date Mailed: 08/16/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

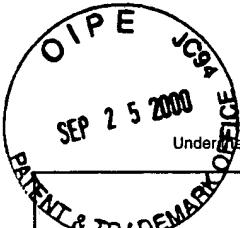
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

130.00 GP

09/27/2000 AMENDAF1 00000038 09597861

01 Ft:105



PTO/SB/17(6/99)

#3

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL FOR FY 2000

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known:

Application No. 09/597,861

Filing Date June 20, 2000

First Named Inventor Maya Rani Gupta, et al.

Group Art Unit 2722

Examiner Name Not yet assigned

Attorney Docket No. 074451.P116

METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

Check

Money Order

Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	690	201	345
106	310	206	155
107	480	207	240
108	690	208	345
114	150	214	75
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	

SUBTOTAL (1) \$ 0

#### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	- 20** = _____	X	= _____
Independent Claims	- 3** = _____	X	= _____
Multiple Dependent			= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	78	202
104	260	204
109	78	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

01/10/2000

- 1 -

PTO/SB/17 (6/99)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.  
See Forms PTO/SB/09-12

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<b>Large Entity</b>	<b>Small Entity</b>	<b>Fee Description</b>	<b>Fee Paid</b>	
Fee Code	Fee (\$)	Fee Code (\$)		
105	130	205	Surcharge - late filing fee or oath 65	<u>130.00</u>
127	50	227	Surcharge - late provisional filing fee or cover sheet 25	
139	130	139	Non-English specification 130	
147	2,520	147	For filing a request for reexamination 2,520	
112	920*	112	Requesting publication of SIR prior to Examiner action 920*	
113	1,840*	113	Requesting publication of SIR after Examiner action 1,840*	
115	110	215	Extension for response within first month 55	
116	380	216	Extension for response within second month 190	
117	870	217	Extension for response within third month 435	
118	1,360	218	Extension for response within fourth month 680	
128	1,850	228	Extension for response within fifth month 925	
119	300	219	Notice of Appeal 150	
120	300	220	Filing a brief in support of an appeal 150	
121	260	221	Request for oral hearing 130	
138	1,510	138	Petition to institute a public use proceeding 1,510	
140	110	240	Petition to revive unavoidably abandoned application 55	
141	1,210	241	Petition to revive unintentionally abandoned application 605	
142	1,210	242	Utility issue fee (or reissue) 605	
143	430	243	Design issue fee 215	
144	580	244	Plant issue fee 290	
122	130	122	Petitions to the Commissioner 130	
123	50	123	Petitions related to provisional applications 50	
126	240	126	Submission of Information Disclosure Stmt 240	
581	40	581	Recording each patent assignment per property (times number of properties) 40	
146	690	246	For filing a submission after final rejection (see 37 CFR 1.129(a)) 345	
149	690	249	For each additional invention to be examined (see 37 CFR 1.129(a)) 345	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 130.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Michael J. MallieSignature  Date September 20, 2000Reg. Number 36,591 Deposit Account User ID 02-2666  
(complete if applicable)

## FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 9/20/00

Date of Deposit

Mara E. Brown

Name of Person Mailing Correspondence

Mara E. Brown

Signature

9/20/00

Date



Serial/Patent No.: 09/597,861 Filing/Issue Date: 06/20/2000  
Client: Ricoh Corp.  
Title: A BLOCK QUANTIZATION METHOD FOR COLOR HALFTONING

BSTZ File No.: 074451.P116

Atty/Secty Initials: M.JM/mzb

Date Mailed: 09/20/2000

Docket Due Date: 10/16/2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |   |
|--|---|
| <input type="checkbox"/> Amendment/Response ( <u>      </u> pgs.)                                  | Atty/Secty Initials: <u>M.JM/mzb</u>  |
| <input type="checkbox"/> Appeal Brief ( <u>      </u> pgs.) (in triplicate)                        | Docket Due Date: <u>10/16/2000</u>  |
| <input type="checkbox"/> Application - Utility ( <u>      </u> pgs., with cover and abstract)      | <input type="checkbox"/> Express Mail No.: _____  |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation ( <u>      </u> pgs.)             | <input type="checkbox"/> _____ Month(s) Extension of Time                                 |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional ( <u>      </u> pgs.)               | <input type="checkbox"/> Information Disclosure Statement & PTO-149 ( <u>      </u> pgs.) |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP ( <u>      </u> pgs.)                      | <input type="checkbox"/> Issue Fee Transmittal  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal ( <u>      </u> pgs.)          | <input type="checkbox"/> Notice of Appeal   |
| <input type="checkbox"/> Application - Design ( <u>      </u> pgs.)                                | <input type="checkbox"/> Petition for Extension of Time                                   |
| <input type="checkbox"/> Application - PCT ( <u>      </u> pgs.)                                   | <input type="checkbox"/> Petition for _____   |
| <input type="checkbox"/> Application - Provisional ( <u>      </u> pgs.)                           | <input checked="" type="checkbox"/> Postcard  |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Power of Attorney ( <u>      </u> pgs.)                          |
| <input checked="" type="checkbox"/> Certificate of Mailing   | <input type="checkbox"/> Preliminary Amendment ( <u>      </u> pgs.)                      |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>      </u> pgs.)                        | <input type="checkbox"/> Reply Brief ( <u>      </u> pgs.)                                |
| <input type="checkbox"/> Disclosure Docs & Oig & Cpyd Inventor Signed Letter ( <u>      </u> pgs.) | <input checked="" type="checkbox"/> Response to Notice of Missing Parts                   |
| <input type="checkbox"/> Drawings: <u>      </u> # of sheets includes <u>      </u> figures        | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business      |
|  | <input type="checkbox"/> Transmittal Letter; in duplicate                                 |
|  | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate                         |

Other: Notice to File Missing Parts Copy.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

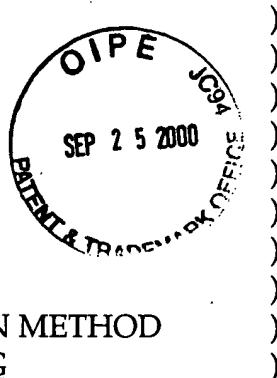
In re Application of:

Maya Rani Gupta, et al.

Application No.: 09/597,861

Filed: June 20, 2000

For: A BLOCK QUANTIZATION METHOD  
FOR COLOR HALFTONING



Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed August 16, 2000, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;
- (4) a Petition for a \_\_ month extension of time under 37 C.F.R. § 1.136(a); and

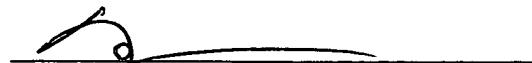
(5) a check in the amount of \_\_\_\_\_ in payment of the extension of time fee.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: September 20 , 2000

  
Michael J. Mallie  
Reg. No. 36,591

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8598

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on September 20, 2000

Date of Deposit

Mara E. Brown

Name of Person Mailing Correspondence

  
Signature

9/20/00  
Date